

**CREDIT APPLICATION**

Caterpillar Financial Services Corporation  
 Caterpillar Financial Commercial Account Corporation  
 Phone: (800) 651-0567  
 Email: Credit.Department@cat.com

**Check all that apply. I am financing:**

- Equipment from a Cat dealer
- Equipment from an auction
- Parts, service, attachments or renting equipment from a Cat dealer with Commercial Account

**I have previously applied with Cat Financial for:**

- Equipment financing
- Commercial Account to pay for parts, service, attachments or to rent equipment from a dealer
- N/A

**SECTIONS 1-3 ARE REQUIRED FOR ALL CUSTOMERS****1 CUSTOMER**

Legal Business Name \_\_\_\_\_ DBA (if any) \_\_\_\_\_

Type of Business  Corporation  Limited Liability Co  General Partnership  Limited Partnership  Sole Proprietor  Municipality  Trust  Other

Description of Business \_\_\_\_\_

Primary Contact Name \_\_\_\_\_ Title \_\_\_\_\_ Phone # \_\_\_\_\_ E-Mail \_\_\_\_\_

Physical Address \_\_\_\_\_ City \_\_\_\_\_ County \_\_\_\_\_ State/Zip \_\_\_\_\_ / \_\_\_\_\_

Billing Address (if different) \_\_\_\_\_ City \_\_\_\_\_ County \_\_\_\_\_ State/Zip \_\_\_\_\_ / \_\_\_\_\_

Phone # \_\_\_\_\_ Fax # \_\_\_\_\_ Business Start Date \_\_\_\_\_ Years as Owner of this Business \_\_\_\_\_

Federal Tax ID Number \_\_\_\_\_ State Incorporated \_\_\_\_\_ Sales Tax Exempt  Yes (please attach certificate)  No

Prior bankruptcy?  No  Personal  Current Business  Prior Business

**2 OWNERSHIP: Provide copy of government issued ID for all owners completing this section**

1) Name \_\_\_\_\_ Date of Birth \_\_\_\_/\_\_\_\_/\_\_\_\_ SSN \_\_\_\_/\_\_\_\_/\_\_\_\_ % of Ownership \_\_\_\_% Net Worth \_\_\_\_\_

Address \_\_\_\_\_ City \_\_\_\_\_ State/Zip \_\_\_\_\_ / \_\_\_\_\_ Annual Income \_\_\_\_\_

2) Name \_\_\_\_\_ Date of Birth \_\_\_\_/\_\_\_\_/\_\_\_\_ SSN \_\_\_\_/\_\_\_\_/\_\_\_\_ % of Ownership \_\_\_\_% Net Worth \_\_\_\_\_

Address \_\_\_\_\_ City \_\_\_\_\_ State/Zip \_\_\_\_\_ / \_\_\_\_\_ Annual Income \_\_\_\_\_

**3 REFERENCES**

	Phone #	Contact Name	Account #	Average Balance
Primary Bank Deposit Information				
Primary Bank Credit Facility Information				
Additional (Cat dealer/equipment rental/bonding)				
1) _____				
2) _____				
3) _____				

**4 LINE OF CREDIT DETAILS**

> Finance your Parts, Service, Attachments or Equipment Rental from a Cat dealer with a Cat Financial Commercial Account

Requesting a revolving credit limit at: \$ \_\_\_\_\_

Name(s) of individual(s) authorized to charge on account: 1) Name \_\_\_\_\_ 2) Name \_\_\_\_\_

> Finance equipment from an Auction

Requesting a line amount at: \$ \_\_\_\_\_

Ritchie Brothers/Iron Planet  Other: \_\_\_\_\_

**FINANCIAL: Attach the following if financing exceeds \$350,000 for equipment purchases or is over \$75,000 for a Commercial Account**

Financial statements for the last 2 fiscal year-ends, latest interim statements and comparable interims from prior year (if fiscal year-end is over 120 days), and a detailed list of work on hand  
 Additional financial information may be required.

**NOTICES**

**Definitions:** The terms "you" and "your" will refer to the person applying for financing, each Guarantor and each Signatory signing this credit application. The terms "we", "us" or "our" will refer to Caterpillar Financial Services Corporation ("CFSC") and/or Caterpillar Financial Commercial Account Corporation ("CFCAC" and, together with CFSC, the "Cat Financial Companies"), either individually or collectively, as applicable. Collectively, the Cat Financial Companies, Caterpillar Inc. and their affiliates and subsidiaries are referred to herein as the "Caterpillar Companies".

**Representations and warranties:** You represent that the information provided by you in this Credit Application (i) is true, correct and complete and (ii) is provided for the purpose of obtaining credit from us.

**Notice and Consent:** We may collect and use personal and business contact information, personal and business details, credit and financial information (including, without limitation, consumer reports and credit histories), and government identifiers. Any of your information supplied or obtained in connection with this Credit Application that also pertains to an individual may sometimes be referred to herein as "Personal Information". We may collect your information directly from you or from other Caterpillar Companies, sellers of Caterpillar products (each a "dealer"), banks, consumer reporting agencies, financial institutions, merchants, customers or any other person or entity for the uses described herein. You further authorize and instruct each such person or entity to furnish, share or otherwise make accessible to us any such information in their possession. We may use and rely upon such information, and any information provided in this Credit Application, to: (a) make a credit decision to extend credit now or in the future pursuant to a subsequent application or request, (b) continue any previously provided credit, (c) review your account, (d) assist in any collection activity, and (e) share such information with any other person or entity, including but not limited to the Caterpillar Companies, dealers, consumer reporting agencies, financial institutions, and merchants, to the extent permitted by law. Additionally, we may use and/or disclose any of your information, including any Personal Information, as required by law or regulation, or as requested by government authorities or for the protection of persons or property.

We may share your information, including any Personal Information, supplied or obtained in connection with this Credit Application, with Caterpillar Companies, dealers, and external service providers processing such information on our behalf, some of whom may be located in a country other than the United States. We may, from time to time, use such information and share such information among and with Caterpillar Companies and dealers to promote and market additional products or services of the Caterpillar Companies to you. You may opt out of receiving this type of communication by contacting us as indicated below.

We use reasonable measures to protect Personal Information against loss, manipulation, falsification, unauthorized access, or unauthorized disclosure.

By providing Personal Information to us, you consent to the collection and use of the Personal Information in accordance with the purposes described in this Credit Application. You also consent to the transfer of Personal Information to countries or jurisdictions that may not provide the same level of data protection as the legal jurisdiction in which you are located.

To make a request to access, update or delete your Personal Information (including to opt-out of future advertising, marketing or opinion poll communications from us), or for additional information about how we handle the Personal Information, please contact us at:

Caterpillar Financial Services Corporation  
Attn: Customer Relations Manager  
Mailing Address: 2120 West End Avenue, P.O. Box 340001, Nashville, TN 37203  
E-Mail: NABC.CustomerService@cat.com  
Phone: (800) 651-0567

This application for credit is solely addressed to us. A decision to grant or deny business credit by CFSC will be made by CFSC, and a decision to grant or deny credit by CFCAC will be made by CFCAC. We may, in our sole discretion, refuse to extend business credit, goods, or services to you and may terminate any such credit extended at any time.

Any references to a requested amount of credit in this Credit Application will not be deemed a limitation of liability by you. You understand and agree that any credit granted by us to you will be governed by the provisions and conditions set forth in CFCAC's Customer Agreement (or similarly titled agreement) between us where granted by CFCAC or the applicable agreements between us where granted by CFSC.

**You acknowledge that this Credit Application is for business customers only (including sole proprietorships) and credit provided by us in connection with this Credit Application may not be used to acquire equipment or services for personal, household or family purposes. You acknowledge that you have read and fully understand the terms and conditions contained in this Credit Application.**

**The federal Equal Credit Opportunity Act prohibits creditors from discriminating against credit applicants on the basis of race, color, religion, national origin, sex, marital status, age (provided the applicant has the capacity to enter into a binding contract); because all or part of the applicant's income derives from any public assistance program; or because the applicant has in good faith exercised any right under the Consumer Credit Protection Act. The federal agency that administers compliance with this law concerning these creditors is the FTC Regional Office for the region in which the Cat Financial Companies operate or the Federal Trade Commission, Equal Credit Opportunity, Washington, DC 20580.**

**If your application for business credit is denied, you have the right to a written statement of the specific reasons for the denial. To obtain the statement, contact us at the applicable address below within 60 days from the date you are notified of our decision. We will send you a written statement of the reasons for the denial within 30 days from receiving your request for the statement.**

**Caterpillar Financial Services Corporation, Attn: Credit Manager, 2120 West End Ave., P.O. Box 340001, Nashville, TN 37203**

**Caterpillar Financial Commercial Account Corporation, Attn: Credit Manager, 2120 West End Ave., P.O. Box 340001, Nashville, TN 37203**

**SIGNATURES**

**Required signatures:** If you are a legal entity (e.g., corporation, limited liability company or limited liability partnership), an authorized person must sign below on your behalf in addition to each owner listed in this Credit Application. If you are a partnership or a sole proprietorship, each owner must sign below.

Authorized Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name \_\_\_\_\_ Title \_\_\_\_\_

**Ownership (To be completed by every owner identified in the OWNERSHIP Section of this Application; ID required)**

1) Signature \_\_\_\_\_ Printed Name \_\_\_\_\_ Date \_\_\_\_\_

2) Signature \_\_\_\_\_ Printed Name \_\_\_\_\_ Date \_\_\_\_\_